

Considerations for the Implementation of State-Based Marketplaces

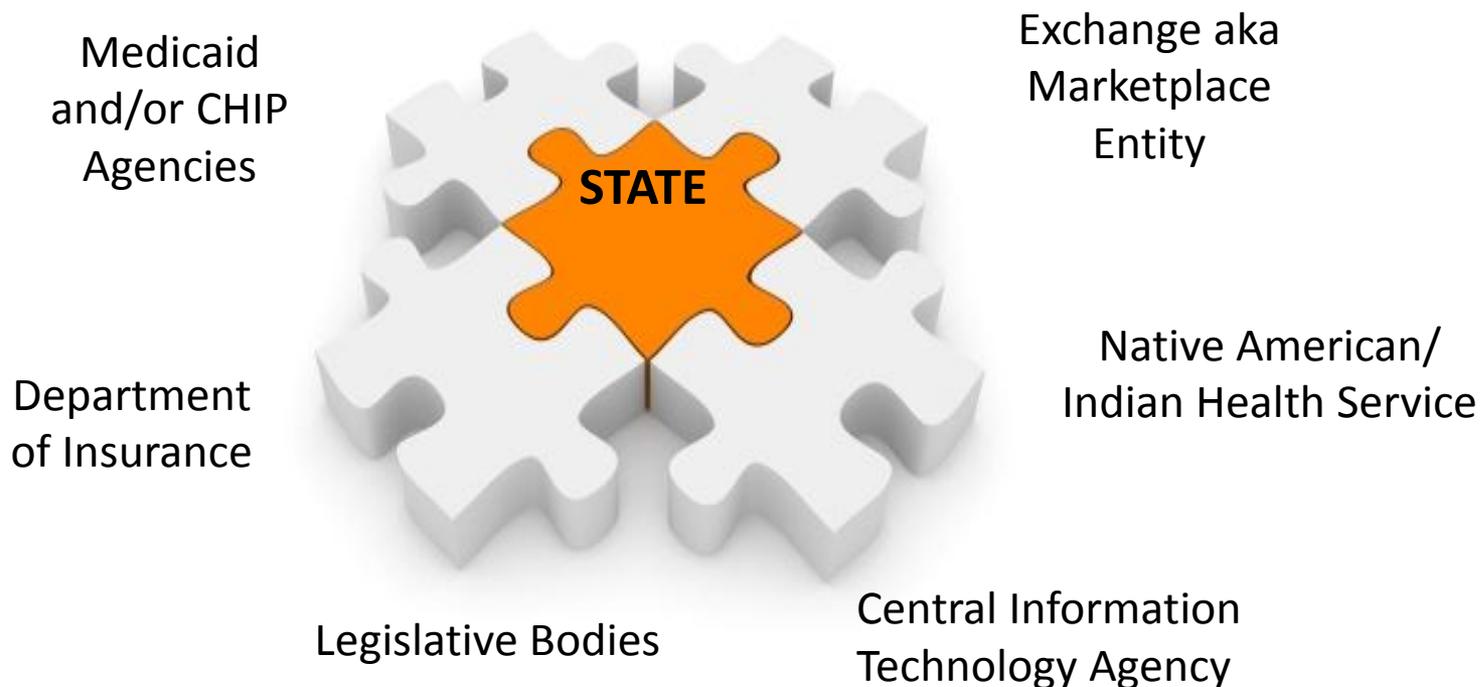


April, 2013

Agenda

- Coordination and Complexity within State agencies and Feds in Implementing a State Marketplace
- Testing
 - Where and where it occurs
 - Wave testing
 - Exit criteria

Large Number of State Entities Involved in Implementing State Marketplace



With such a complex program impacting so many entities, there is significant opportunity for fragmentation and failure

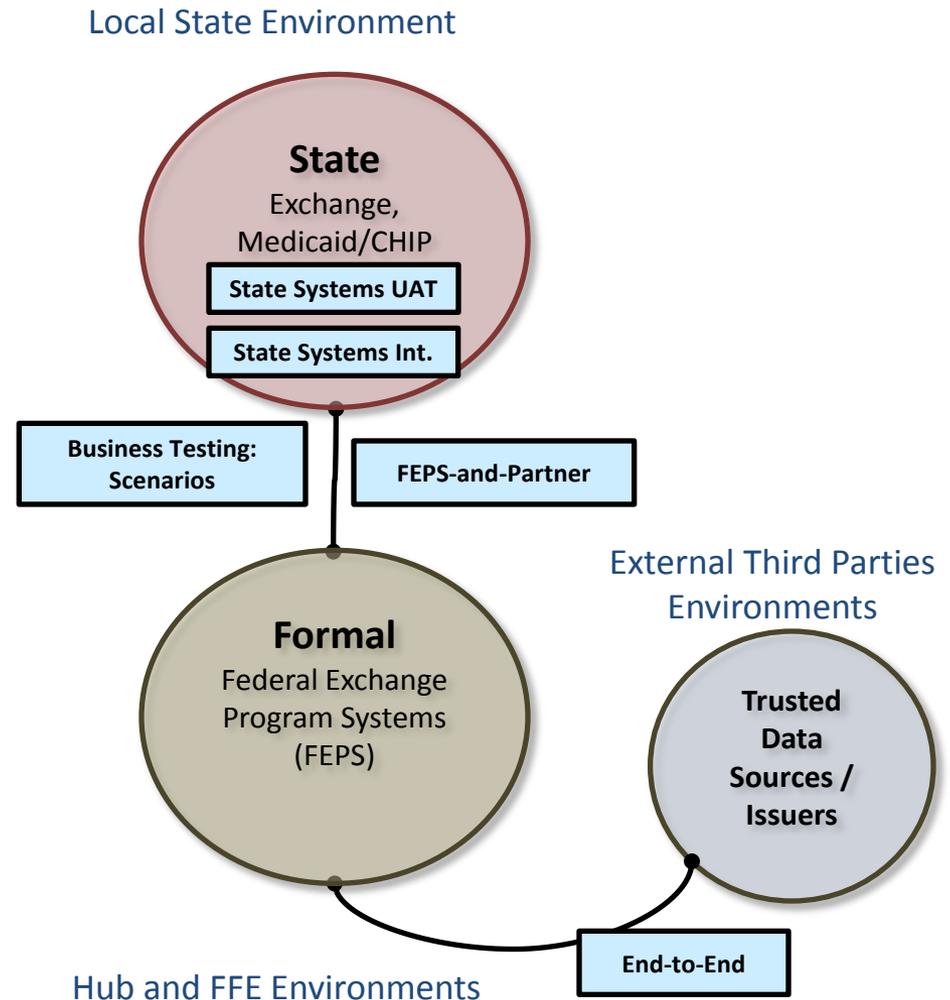
Coordination Required- at All Levels

- CMS identified the need for increased coordination to execute its work at the federal level.
 - Created several interagency groups between IRS, SSA, DHS, and others to make sure ACA is implemented across agencies.
 - Within CMS we created the Cross-Component Committee (CCC) which provides “a single CMS voice” for communications with the States, Issuers, and other stakeholders on Marketplace IT issues.
 - These activities have required a significant amount of resources
 - Don’t underestimate the overhead required.
- The biggest challenges we see in states struggling to implement a State Marketplace are:
 - Ambiguous requirements /guidance (either federal or state).
 - Lack of a shared vision and collective commitment to execute program at state level.
 - Schedule management, so much to do in a constrained timeframe.

Testing a State Marketplace

Where it occurs, when it occurs, and how Feds and States Interact

- Scope = Internal State, FEPS Formal (technical) and Business (operational)
- States manage testing operations (plans, schedules, execution, results, validation, etc.) within their own environment and FEPS + External is managed and supported through multiple federal sources
- Testing within one area may provide input into another
 - State system UAT results are part of the entrance criteria to enter a Formal test Wave
- Business Testing includes test cases that combine local with Hub interactions



State to Federal Partner Wave Testing Timeline

- 4 Testing Wave Periods
- Wave 1 (mid-March)
 - 11 States (9 State-based Marketplaces)
- Wave 2 (mid-April)
 - 5 States (projected) (3 State-based Marketplaces)
- Wave 3 (mid-May)
- Wave 4 (mid-June)
- End of formal Wave testing mid-July
- Regression and End to End testing August

Wave Testing Exit Criteria

Measuring Success

- **Formal Testing: Secure Communication**
 - Review of connectivity results, successful certificate exchange
 - Pass/Fail
- **Formal Testing: FEPS-and-Partner**
 - Only interactions developed and available for testing during a particular Wave will be assessed
 - CMS manufactured test data is designed to represent payloads for a State's UI/Application
 - State performs test cases and confirm the ability to produce the initial payload from the UI/Application
 - Once response is received from the Hub, States demonstrate the ability to consume
 - Discrepancies are logged as “defects” and FEPS testing and development teams are notified to determine the root cause of the failed results
 - Failed interactions will be continuously tested until success is met